



Low Tidal Volume Ventilation Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients

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Introduction

Importance of Low Tidal Volume Ventilation (LTVV) for Mechanically Ventilated Patients

Mechanical ventilation is a lifesaving tool commonly used in intensive care units (ICUs) to support the patient's ability to breathe and oxygenate. Despite this central positive role in patient support, ventilators are also known to cause a number of harms. Among these harms are barotrauma, infection, and "volutrauma."¹ Patients who require mechanical ventilation are often at risk of pulmonary complications. For example, sepsis is the leading cause of acute respiratory distress syndrome (ARDS), and substandard ventilator management can increase the injuries caused by sepsis and other high-risk conditions.^{2,3} ARDS has been shown to be underrecognized, undertreated, and associated with a high mortality rate, indicating there exists the potential for improvement in management of these patients.³ Even patients with limited risk or no underlying pulmonary disease may be harmed by traditional ventilator management strategies.^{1,4}

In 2000, the ARDSNet Trial established that a low tidal volume approach significantly improved the outcomes of patients with ARDS as compared with traditional strategies.² The ARDSNet protocol focused on maintaining a set tidal volume of 4–6 mL/kg of predicted body weight (PBW) using assist/control mode ventilation and a generous positive end-expiratory pressure (PEEP). A stepwise approach of alternating changes in PEEP and fraction of inspired oxygen (FiO₂) was used for escalation and deescalation of support. The low tidal volume employed prevents overstretching of alveoli (volutrauma), and the generous PEEP both recruits alveoli and prevents alveolar collapse at end-expiration, thereby reducing "atelectrauma" caused by the cycle of collapsing alveoli that then "pop" open.⁵ The overall result is less inflammation and damage to the lungs. Gajic et al. suggested that ARDS is an event, similar to ventilator-associated pneumonia (VAP), and high tidal volume is the largest risk factor for hospital-acquired ARDS.⁶

Since the ARDSNet Trial, the concept of LTVV has expanded beyond patients with diagnosed ARDS.⁶⁻¹¹ Results from several randomized controlled trials suggest that for patients without ARDS, the use of tidal volumes in the 6–8 mL/kg PBW range along with the avoidance of zero-end-expiratory pressure (so-called ZEEP)⁶⁻¹⁵ and limiting plateau pressures to < 30 cm/H₂O (as compared with 10–12 mL/kg and no specific ZEEP avoidance in most traditional approaches) should be the goal.¹⁶⁻¹⁸ This approach has been shown to be beneficial in patients at risk for ARDS, such as those with sepsis, pneumonia, or traumatic injuries and those requiring massive transfusions.^{7,19} In the population of patients with ARDS, the target tidal volume should be between 4 and 6 mL/kg.²⁰⁻²² Several studies and reviews have suggested that LTVV may be desirable in virtually all critically ill patients requiring mechanical ventilation.^{9,10,19,23} In fact, recent research on high-risk, intraoperative patients undergoing abdominal surgery found significant benefits using a LTVV strategy, suggesting that other patients requiring invasive

ventilatory support (distinguished from noninvasive support, such as bilevel positive airway pressure masks) could benefit from a LTVV approach.²⁴

In 2008, however, Umoh et al. found that only 46 percent of patients who were eligible to receive LTVV actually did.²⁵ The implementation of a program that targets the use of LTVV in all patients who are on a ventilator and do not have a contraindication requires a multidisciplinary approach, including collaboration between respiratory therapists, physicians, nurses, and administrators.²⁶ This guide integrates available resources to help educate and engage all stakeholders. It also proposes protocols to standardize the screening and implementation of LTVV for patients, and tools to evaluate progress.

LTVV as a Preventive Intervention

Prior to 2013, the Centers for Disease Control and Prevention's (CDC) National Healthcare Safety Network's surveillance for ventilator-associated complications was limited to VAP, which is a heterogeneous disease and is difficult to diagnose.⁷ A major barrier to standardizing prevention and treatment of VAP is that the radiological and microbiological methods of diagnosing VAP are notoriously subjective and difficult to carry out in critically ill patients. This often results in interobserver variability and inconsistent treatment paradigms. In the United States, the subjectivity in VAP surveillance classification leads to misdiagnosis and treatment errors.^{27,28}

In January 2013, the CDC released new surveillance definitions for ventilator-associated events (VAE) and ventilator-associated conditions (VAC). The new, tiered definition is based on objective, streamlined, and automatable criteria; it is more broadly focused on the preventable complications of mechanical ventilation, including VAP.²⁹ The change in the CDC surveillance definition marks a strong first step toward recognizing the short-term preventable complications associated with mechanical ventilation beyond VAP, and improving outcomes for all mechanically ventilated patients.

In addition to pneumonia, VAC is most commonly attributable to atelectasis, pulmonary edema, and ARDS, acute lung injury, or a combination of these conditions. Recently published data suggest that VAC is associated with prolonged mechanical ventilation, prolonged hospitalization, and increased hospital mortality.^{30,31} Thus, preventive interventions must address both VAP and VAC. LTVV, and the implementation of conservative fluid management and restrictive transfusion threshold, is one of the interventions specifically designed to prevent VAC.^{8,32,33} LTVV is a key prevention given the emerging evidence linking protective tidal ventilation to decreased incidence of ARDS and decreased time on the ventilator.

What's in the Guide?

By implementing the Low Tidal Volume Ventilation Guide processes into your workflow for ICU patients, your team leads the national effort to reduce complications related to mechanical ventilation and improve physical, cognitive, and psychological patient outcomes. However, this guide alone is not a prescription for success. While this is a model to implement evidence-based practices and improve care for all ICU patients, the authors do not work in your unit. Only your team understands local obstacles and opportunities for improvement for your patients. The materials presented provide a structure to implement evidence-based practices and improve your patients' outcomes. Ultimately, success requires creative energy, profound persistence, strong leadership, and deliberate teamwork.

Using the TRIP Model as a Framework

This guide's structure is based on the model Translating Research Into Practice (TRIP), designed to close the gap between evidence-based guidelines and clinical bedside practice.³⁴

The TRIP model has four phases:

1. Develop an evidence-based intervention
 - a. Identify interventions associated with improved outcomes
 - b. Select interventions with the largest benefit and lowest burden
2. Identify barriers to implementation
3. Measure baseline performance
4. Ensure all patients receive the intervention

Parallel with the technical interventions, the [Comprehensive Unit-based Safety Program \(CUSP\)](#), a frontline-led method to improve the care delivery system, integrates the adaptive elements of patient safety culture. Additional information on CUSP can be found in the [Toolkit To Improve Safety for Mechanically Ventilated Patients](#), in the [CUSP Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#).

Implementation of the TRIP and CUSP models has been associated with significant reductions in central line-associated bloodstream infections and VAP in more than 100 Michigan ICUs.³⁵⁻³⁸ The Michigan results were sustained for more than 3 years and were associated with a reduction in mortality among Medicare ICUs with significant cost savings.^{39,40} Implementation of the same program in Rhode Island ICUs demonstrated similar results.⁴¹ More recently, implementation of the TRIP and CUSP model has been associated with significant reductions in central line-associated bloodstream infections in hospitals in 45 States, from Hawaii to Connecticut.⁴² This framework will help you incorporate evidence-based technical and adaptive interventions into your patient care practices.

Phase 1. Develop an Evidence-Based Intervention

In Phase 1, you will develop an evidence-based intervention plan for your work area. Your plan will encompass two distinct processes. First, identify the interventions associated with your desired outcome improvements. Next, select those interventions with the largest benefit and lowest burden.

Identify Interventions Associated With Improved Outcomes

The benefits of LTVV include a reduction of barotrauma, an injury to the lung caused by a change in air pressure, and volutrauma to the lungs, reduced activation of the inflammatory cascades, reduced length of mechanical ventilation, reduced need for reintubation, and reduced hospital length of stay.¹⁰ But what are the key interventions to achieve the implementation of LTVV in all patients in whom it is not contraindicated? A list of interventions based on an extensive review of available literature and guidelines is presented below. Note that recommendations vary in the published protocols, due to small sample sizes and the ongoing evolution of the evidence. Large randomized controlled trials are in process. Therefore, these interventions were selected based on input from national experts in mechanical ventilation in addition to current literature. These interventions form the basis for the [Low Tidal Volume Ventilation Data Collection Tool](#).

Below is a brief overview of other interventions for this safety program. The interventions are examined in the [CUSP Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#), the [Daily Care Processes Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#), and the [Early Mobility Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#):

- **Multidisciplinary and coordinated approach of care team.** The joint participation of nurses, physicians, respiratory therapists, and local hospital administrators is vital throughout the TRIP model continuum to create a culture for advocating the use of LTVV for patients. See the [CUSP Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#) for more information about patient safety culture and multidisciplinary coordination of care.
- **Structured assessments of sedation level and delirium using scales.** Routinely assessing the patient's cognitive function with these scales will help you target lighter sedation levels and treat delirium. Together with LTVV, these assessments will help you provide better care for your patients, avoid acute lung injury, and get your patients off the ventilator faster. See the [Daily Care Processes Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#) for more information.
- **Daily sedation interruption and minimization of sedative use.** Heavily sedated patients cannot participate in a rehabilitation program, are more likely to suffer delirium, and most important, are slower to achieve extubation. Protocols incorporating daily sedative interruptions and targeting light sedation will help your patients remain alert and cooperative to the extent that they can participate in a rehabilitation program, achieve extubation, and shorten the length of stay in the ICU and hospital. See the [Early Mobility](#)

[Guide for Reducing Ventilator-Associated Events in Mechanically Ventilated Patients](#) for more information.

Select Interventions With the Largest Benefit and Lowest Burden

While there is no formula for how to approach implementation, your team will want to consider a few factors:

- How much effort is required to build buy-in for the implementation of LTVV?
- Who will champion this effort (a great opportunity for respiratory therapists, and potentially others)?
- How to share the evidence supporting the intervention with the different stakeholders?
- Which resources are required to change current local practice?
- What is required to obtain the necessary resources?

Consider first developing interventions that pursue targets that are “low-hanging fruit” to gain positive momentum before focusing on more challenging interventions. Low-hanging fruit is an intervention that is easy to implement and yields strong rewards. For instance, it would be easier to add a nightlight to bathrooms rather than redesign the floor plan to reduce patient falls. Frontline staff have the wisdom to guide these decisions; focus on their ideas.



Low Tidal Volume Ventilation in Practice

“When I first began working as a respiratory therapist, I was initially employed by a facility that was already utilizing low tidal volume/lung protective ventilation for all patients. As a new hire and new graduate, it was easy to adopt that strategy as the ‘norm.’ There were frequent discussions and departmental training efforts aimed at focusing the respiratory therapists on the reality of ventilator-induced lung injury. Audits were conducted to evaluate the overall compliance with the lung protective protocol in place.

In addition, the audit findings were frequently shared with staff. If the tidal volume targets/goals were violated, the staff member might be asked to provide rationale for the noncompliance. Frequently, this was due to spontaneously breathing patients on pressure-targeted modes of mechanical ventilation (setting their own volumes). Overall, utilizing low tidal volume strategies for all patients was widely accepted and implemented without debate.”

–Respiratory therapist, CUSP team member

Phase 2. Identify Barriers to Implementation

Clinicians want to achieve the best possible outcomes for their patients. If patients are not receiving the evidence-based intervention your team identified, you will need to understand the barriers to compliance.⁴³ Common barriers to implementation of evidence-based interventions include the three As:

- **Awareness:** Are clinicians aware of the evidence-based intervention?
- **Agreement:** Do clinicians agree with the intervention?
- **Access:** Do clinicians have convenient access to the equipment or supplies required to implement the intervention?

Barriers for implementing the use of a low tidal volume strategy will vary among ICUs. The most commonly encountered barriers include the following:^{44,45}

- **Lack of leadership.** Strong leadership is necessary at the institutional level and local unit level, including the recruitment of a multidisciplinary project team. This team should include ALL stakeholders interested in improving patient outcomes:
 - Physicians
 - Nurses and nurse leaders
 - Respiratory, physical, and occupational therapists
 - Representatives from nutrition, pharmacy, and other areas
- **Lack of resources.** Adequate professional staffing and equipment are necessary for successful implementation. Institutional leadership must understand the value of an LTVV program to support implementation in your facility.
- **Lack of education and understanding.** An organized and comprehensive education process should be developed. This process should include the current literature and represent solid evidence supporting the use of a low tidal volume ventilation strategy. Emphasis should be placed on the impact on patient outcomes.
- **Lack of ongoing quality assurance.** Once the strategy is implemented, take measures to assure compliance. Continuing education modules, strategically placed placards, and regular discussion during multidisciplinary rounds will support your ongoing improvement goals.

Through education, engagement, and collaboration of multidisciplinary teams of frontline clinicians, and a strong understanding of the literature and robust quality assurance, these barriers can be surmounted to create a culture where the implementation of LTVV can be implemented and maintained and can result in positive impacts on patient outcomes.

Phase 3. Measure Performance

Baseline Performance

Collect baseline performance data to highlight at-risk areas or your team's improvement opportunities. This data collection is essential to focus your team efforts where they are most likely to yield results. By sharing your results with both clinicians and hospital leadership, you will provide a catalyst for those improvement efforts. There are several potential strategies to assess baseline performance for LTVV:

- Ventilator setting order sets
- Rate of use of tidal volumes of 6–8 mL/kg PBW, or for ARDS patients use of tidal volumes of 4–6 mL/kg PBW
- Rate of use of PEEP settings ≥ 5 cm H₂O
- Barriers to using appropriate settings
- Days of mechanical ventilation
- Appropriate sedation levels to prevent asynchrony
- Adverse events

In addition, you can use implementation information derived from the Exposure Receipt Assessment and Implementation Assessment tools.

Monitor Compliance With Evidence-Based Guidelines

It is important to monitor compliance with evidence-based interventions through frequent formal and informal audits. Share the audit results with all involved staff to maintain engagement and spur improvement. Through this monitoring process, you will be able to maintain awareness, establish expectations, create urgency, generate ownership and accountability, and reward changes in behavior.^{38,46} Evaluating performance provides an ongoing, real-time ground truth of performance and outcomes.⁴⁷ Areas with poor compliance can be identified and rectified.⁴⁸ Any lingering compliance concerns are immediately recognized, allowing the improvement team to revisit. Walk through the process with staff to gain additional insights into barriers to implementation and weak compliance rates.³⁸

To collect data and audit compliance, the [Low Tidal Volume Ventilation Data Collection Tool](#) includes daily care activities for patients receiving mechanical ventilation to maximize low tidal volume ventilation, including the following:

- Record accurate measurement of height for nomogram-based predicted body weight calculation
- Target a set tidal volume of 6–8 mL/kg PBW in volume cycled modes for patients without ARDS and 4–6 mL/kg PBW for patients with ARDS^{50–52}

- Target an approximate volume of 6–8 mL/kg PBW in pressure cycled modes for patients without ARDS and 4–6 mL/kg PBW for patients with ARDS⁴⁹⁻⁵¹ and monitor closely so that patients do not exceed these volumes
- Maintain PEEP \geq 5 cm H₂O unless contraindicated
- Identify patients with ARDS or acute lung injury and patients at high risk for developing ARDS
- Link spontaneous awakening trials and spontaneous breathing trails to facilitate the discontinuation of mechanical ventilation; these are necessary requirements for patients receiving mechanical ventilation and the implementation of the LTVV strategies (more information available in Daily Care Processes and Early Mobility guides)
- Evaluate readiness for discontinuation of mechanical ventilation with daily spontaneous breathing trials (more information available in Daily Care Processes and Early Mobility guides)

Low Tidal Volume Data Collection

The Low Tidal Volume Ventilation Data Collection Tool can be used to collect data on patient care activities. Real-time data to track compliance with interventions should drive all quality improvement efforts.

<i>Tool</i>	<i>How To Use It</i>
LTVV Data Collection Tool	Collect actual and target LTVV values, plateau pressure, PEEP value, and ARDS status in order to track LTVV compliance.

Outcomes Reported From Low Tidal Volume Ventilation Data Collection

The following reported outcomes help you monitor patient outcomes to reduce barotrauma, volutrauma, and atelectrauma to patients' lungs, the activation of the inflammatory cascades, length of mechanical ventilation, need for reintubation, and hospital length of stay:

- Target low tidal volume compliance rate (≥ 4 and ≤ 8 mL PBW) (all patients)
- Target low tidal volume compliance rate (≥ 4 and ≤ 6 mL PBW) (with ARDS)
- Target low tidal volume compliance rate (≥ 6 and ≤ 8 mL PBW) (without ARDS)
- Actual low tidal volume compliance rate (≥ 4 and ≤ 8 mL PBW) (all patients)
- Actual low tidal volume compliance rate (≥ 4 and ≤ 6 mL PBW) (with ARDS)
- Actual low tidal volume compliance rate (≥ 6 and ≤ 8 mL PBW) (without ARDS)
- PEEP compliance rate (≥ 5 cm H₂O) (all patients)
- PEEP compliance rate (≥ 5 cm H₂O) (with ARDS)
- PEEP compliance rate (≥ 5 cm H₂O) (without ARDS)
- Distribution of target tidal volume values (mL PBW) (all patients)
- Distribution of actual tidal volume values (mL PBW) (all patients)

Phase 4. Ensure All Patients Receive the Intervention

Finally, deliver reliable evidence-based care to 100 percent of your patients. Ensure that your interventions become “the way things are done around here.” This phase poses the biggest challenge for unit improvement teams. While your team implements phases 1 through 3 of the TRIP model, Phase 4 requires buy-in and engagement from your unit's entire care team and stakeholders. Without full awareness of, agreement with, and access to materials, the interventions will not become the norm and be sustained.

The next section expands on phase 4 of the TRIP framework and operationalizes the 4Es model.

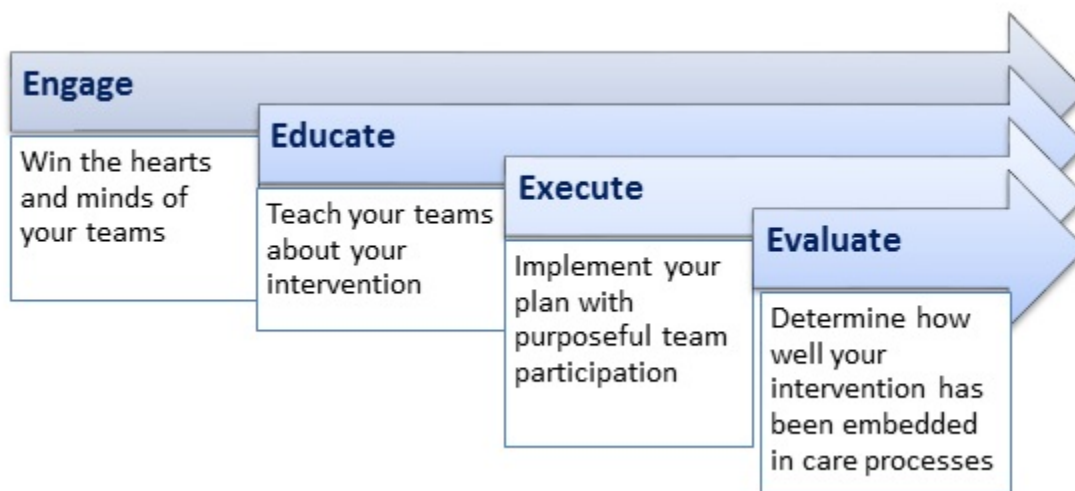
Operationalize the Four Es

Safety efforts succeed through the investment of key stakeholders, including senior leaders, improvement team leaders, and frontline staff. Though stakeholders have different perspectives, hopes, and fears, they often have the same questions about their involvement in the quality improvement process.

Operationalize the Four Es model (Figure 1) by explicitly addressing these associated questions of your key stakeholders:

- **Engage:** How will LTVV improve patient outcomes?
- **Educate:** What do we need to do to implement LTVV with mechanically ventilated patients?
- **Execute:** How will we implement LTVV given local culture and resources?
- **Evaluate:** How will we know our efforts to use LTVV makes a difference?

Figure 1. The 4Es Model



Engage: How Will LTVV Improve Patient Outcomes?

Your staff members are likely overwhelmed by the volume of active quality improvement initiatives in your hospital. You may need to convince them that improving the care of mechanically ventilated patients and including the use of LTVV is not just another request from management. You will need to share the value of using tools to improve the care of mechanically ventilated patients, and to include LTVV. It is important to incorporate LTVV into the routine care of mechanically ventilated patients to help prevent VAC and acute lung injury, shorten the duration of mechanical ventilation, and reduce the patient's length of stay in the ICU and hospital.

Successful implementation of an LTVV program is predicated on a change in both ICU culture and practice. Recruit a champion to meet with and educate stakeholders from various ICU disciplines, thereby building support and addressing anticipated barriers. Sharing patient anecdotes, both success stories and stories of difficult recoveries, is an especially powerful way to engage care providers. Also, invite guest speakers with expertise in the field, visit another hospital with a mature LTVV program, or attend lectures and related conferences to help close the knowledge and training gap.⁴⁵

Engage Senior Executives

In addition, designate a medical director for LTVV to advocate for resources and address barriers, whether global, discipline based, or patient-centric. This executive attends regular interdisciplinary meetings, helps prioritize compliance for eligible patients, and employs organizational support and resources on behalf of LTVV efforts. You can garner executive support by stressing the positive impacts of LTVV:

- Protect from barotrauma and volutrauma
- Decrease VAC
- Decrease duration of ventilation
- Decrease ICU length of stay
- Decrease hospital length of stay

Make Performance More Visible

Quality improvement teams often share process and outcome performance measures with select individuals or improvement groups within their organization. Key stakeholders, including frontline staff and senior leadership, are often unaware of local performance. If you were to ask frontline staff and leadership their VAE rates or their compliance rate with LTVV measures, would they know the answer? In most cases, they would not. Frontline clinicians cannot own their data if they have no exposure to their data.

Give your invested stakeholders feedback by sharing your performance in these ways:

- Post a trend line of the daily rate of LTVV compliance in your unit so frontline staff (including respiratory therapists, nurses, and physicians) can see changes over time
- Benchmark your performance against similar hospitals

Most importantly, performance feedback will only be meaningful if your providers believe the data are valid. Be transparent about your data collection techniques, analyses, and any efforts your team has made to address possible biases.

Recognize Staff Efforts

Financial incentives to engage staff and leaders, while attractive, are often not feasible or sustainable. Staff recognition with nonfinancial strategies is also an effective way to engage colleagues.

Some examples include—

- Assign a title for key team participants, such as the respiratory therapist, physician, or nurse project leader. Make new designations visible by posting around the unit and by publishing in a hospitalwide newsletter or Web site.
- Encourage team members to present their efforts on a recurring basis at important committee or board meetings within your organization, or even at regional or national conferences if applicable.
- Highlight staff efforts in local newsletters, bulletins, or publications.

Educate: What Do We Need To Do To Implement LTVV for Mechanically Ventilated Patients?

Evidence To Support the Use of LTVV

The concept of LTVV has been expanded beyond patients with diagnosed ARDS.⁶⁻¹¹ The goal for patients without ARDS in this program is the use of tidal volumes in the 6–8 mL/kg PBW range along with the avoidance of ZEEP^{6-13,24} and limiting plateau pressures to < 30 cm H₂O.⁸⁻¹¹ Traditional approaches use 10–12 mL/kg without specific ZEEP avoidance.¹⁶⁻¹⁸ The LTVV approach has been shown to benefit patients at risk for ARDS, such as those with sepsis, pneumonia, or traumatic injuries, and patients requiring massive transfusions.^{7,19} Recent randomized controlled trials of patients without ARDS undergoing abdominal surgery found significant benefits using a LTVV strategy.^{8,9} Based on these studies and multiple retrospective analyses, LTVV has been advocated as desirable in virtually all critically ill patients requiring mechanical ventilation.^{6,10}

In the population of patients with ARDS, the target tidal volume should be 4–6 mL/kg PBW as opposed to the 6–8 mL/kg PBW range.²⁰⁻²²

Many patients admitted to the ICU already have risk factors for ARDS, such as pneumonia, sepsis, noncardiogenic shock, trauma, multiple transfusions, or cardiopulmonary bypass.² Mechanical ventilation with high tidal volumes can amplify inflammatory responses, ultimately leading to ARDS.^{6,33,52,53} Studies comparing protective ventilation with conventional or traditional ventilation have shown a beneficial impact of the protective ventilation on inflammation, oxygenation, and/or clinical outcome data.^{10,11,33,52,54-71}

Often ventilator settings for individual cases are not changed from the initial settings. These may have been set based on physician preference or emergent conditions when pertinent information such as height may not have been available.^{10,52} Pulmonary damage can actually occur after only a few hours of mechanical ventilation.^{10,52} Therefore, it is important that LTVV be implemented if at all possible **at the time of initial intubation** to reduce the likelihood of developing ARDS.¹² If actual height is not known at the time of intubation due to emergent circumstances, this information should be ascertained as soon as possible with immediate

adjustments in tidal volume as appropriate. The use of a tidal volume of 6–8 mL/kg PBW in patients without ARDS can be used safely and with protective benefits.^{10,33,52,54-69,71-73}

Initiating Low Tidal Volume Ventilation

Tidal volume should be based upon PBW. It has been shown that when tidal volume is based on clinician estimation of need or visual estimation of height, it is frequently overestimated,⁵³ especially in shorter obese female patients.^{13,74,75}

To calculate predicted body weight (PBW) mL/kg—

1. PBW (male) = 50 + [height (inches) – 60 X 2.3]
2. PBW (female) = 45.5 + [height (inches) – 60 X 2.3]

For transgender or transsexual patients, use the gender of origin, not their current gender.

As a quick reference, Table 1 and Table 2 are available. They are also available as a pocket guide for clinicians to quickly determine tidal volume. See the [Daily LTVV Data Collection Tool](#).

Table 1. Female Quick Reference for Tidal Volume

FEMALE QUICK REFERENCE FOR TIDAL VOLUME					
HEIGHT	INCHES	PBW	8 mL/KG	6 mL/KG	4 mL/KG
4'6"	54	31.7	260	190	130
4'7"	55	34.0	270	210	140
4'8"	56	36.3	290	220	150
4'9"	57	38.6	310	230	160
4'10"	58	40.9	330	250	170
4'11"	59	43.2	350	260	180
5'0"	60	45.5	370	280	180
5'1"	61	47.8	380	290	190
5'2"	62	50.1	400	300	200
5'3"	63	52.4	420	320	210
5'4"	64	54.7	440	330	220
5'5"	65	57.0	460	340	230
5'6"	66	59.3	480	360	240
5'7"	67	61.6	500	370	250
5'8"	68	63.9	510	390	260
5'9"	69	66.2	530	400	270
5'10"	70	68.5	550	410	280
5'11"	71	70.8	570	430	290
6'0"	72	73.1	590	440	290
6'1"	73	75.4	610	450	300
6'2"	74	77.7	620	470	310
6'3"	75	80.0	640	480	320
6'4"	76	82.3	660	500	330

KG = kilogram; mL = milliliter; PBW = predicted body weight

Table 2. Male Quick Reference for Tidal Volume

MALE QUICK REFERENCE FOR TIDAL VOLUME					
HEIGHT	INCHES	PBW	8 mL/KG	6 mL/KG	4 mL/KG
4'6"	54	36.2	290	220	150
4'7"	55	38.5	310	230	160
4'8"	56	40.8	330	250	170
4'9"	57	43.1	350	260	170
4'10"	58	45.4	370	270	180
4'11"	59	47.7	380	290	190
5'0"	60	50.0	400	300	200
5'1"	61	52.3	420	320	210
5'2"	62	54.6	440	330	220
5'3"	63	56.9	460	340	230
5'4"	64	59.2	480	360	240
5'5"	65	61.5	490	370	250
5'6"	66	63.8	510	390	260
5'7"	67	66.1	530	400	270
5'8"	68	68.4	550	410	280
5'9"	69	70.7	570	430	290
5'10"	70	73.0	590	440	290
5'11"	71	75.3	600	450	300
6'0"	72	77.6	620	470	310
6'1"	73	79.9	640	480	320
6'2"	74	82.2	660	500	330
6'3"	75	84.5	680	510	340
6'4"	76	86.8	700	520	350

KG = kilogram; mL = milliliter; PBW = predicted body weight

Initial Ventilator Settings

After you determine the appropriate tidal volume, adjust the tidal volume to 6 mL/kg of predicted body weight if already on mechanical ventilation. If the tidal volume is greater than 8 mL/kg, the tidal volume should be reduced over 2 hours. The respiratory rate should be adjusted to maintain the same minute ventilation as tidal volume is decreased.

Subsequent Ventilator Settings: Acid-Base Goals

Acceptable pH for patients receiving LTVV is a pH 7.30–7.45. The notable exception is traumatic brain injury patients, in whom hypercapnia may result in an inadvertent reduction in cerebral blood flow. Hypercapnia refers to excessive carbon dioxide in the bloodstream, typically caused by inadequate respiration. See below if outside of the acceptable pH range:

- **pH < 7.15** that has not responded to a respiratory rate of 35 breaths per minute and bicarbonate was tried or considered. Increase tidal volume by 0.5 mL/kg PBW until pH > 7.15. In this case plateau pressure (P_{STAT}) may exceed 30 cm H₂O.
- **pH 7.15–7.29**. Increase respiratory rate to a maximum of 35 breaths per minute.
- **pH > 7.45**. Decrease respiratory rate if patient is not overbreathing set rate.

Ongoing Tidal Volume Management

The goal is to achieve a tidal volume of 6 mL/kg of predicted body weight. This will offer the greatest protection against ventilator induced lung injury.

1. Maintain airway plateau pressure, or $P_{PLAT} \leq 30$ cm H₂O.
2. Routinely assess the P_{PLAT} with every ventilator assessment and after every tidal volume or PEEP adjustment.
3. If the patient's P_{PLAT} is > 30 cm H₂O for at least two ventilator assessments, decrease the tidal volume by 0.5 mL/kg every 60 minutes until the P_{PLAT} is ≤ 30 cm H₂O. Do not reduce the tidal volume below 4 mL/kg PBW.
4. If the patient is uncomfortable or is experiencing patient-ventilator asynchrony despite other appropriate ventilator adjustments, consider adjusting the tidal volume as follows: if the tidal volume is < 6 mL/kg and P_{PLAT} is < 25 cm H₂O for at least two successive ventilator assessments, then increase the tidal volume by 0.5 mL/kg every 30 minutes until the tidal volume reaches 6 mL/kg as long as the P_{PLAT} remains ≤ 30 cm H₂O. Please note that if the patient is adequately ventilated and comfortable without deep sedation, these adjustments are not necessary.
5. Meet these oxygenation goals:
 1. Keep the PaO₂ between 55 mm Hg and 80 mm Hg or the SpO₂ between 88 percent and 95 percent.
 2. Consider use of PEEP/FiO₂ ladders⁷⁶ (Table 3) to assist with oxygenation, especially in patients with ARDS.

Table 3. PEEP/FiO₂ Ladders

LOWER PEEP/HIGHER FIO₂

FiO₂	0.3	0.4	0.4	0.5	0.5	0.6	0.7	0.7	0.7	0.8	0.9	0.9	0.9	1.0
PEEP	5	5	8	8	10	10	10	12	14	14	14	16	18	18–24

HIGHER PEEP/LOWER FIO₂

FiO₂	0.3	0.3	0.3	0.3	0.3	0.4	0.4	0.5	0.5	0.5–0.8	0.8	0.9	1.0	1.0
PEEP	5	8	10	12	14	14	16	16	18	20	22	22	22	24

Getting Your Message to Frontline Staff

Your team will need to educate staff and leadership about the evidence, explain new processes, answer questions, and set performance goals. Workshops, hands-on trainings, conferences, slide presentations, and interactive discussions are all effective tools to use for staff education. In fact, multiple teaching modalities can meet diverse learning styles.^{77,78} Local champions and topic experts should be responsible for staff education^{25,46,79} that should include both multidisciplinary and specialty-targeted educational programs.^{25,46,79-81} Sessions must be informative and relevant for the learner, providing clear explanation of desired procedures. These sessions explain why staff members need to adopt the new practices and allow dialogue. Done well, the session should engage and encourage adoption of new practices.^{46,78}

Physician Education Efforts

While educational sessions should be interdisciplinary, some groups are more receptive to educators from their own groups, such as physicians. The physician champion on your safety program team can lead breakout physician education efforts. Several education strategies described in the literature focus on changing physician behavior:

- Provide physicians with educational information packets consisting of research literature, evidence-based reviews, hospital specific data, and national guidelines. Education information from national physician professional societies is particularly useful.
- Introduce educational information at staff meetings or grand rounds.
- Utilize informal educational meetings and networks to disseminate information.
- Conduct educational outreach visits involving content experts, such as respiratory therapists, pharmacists, pulmonologists, and infection preventionists.

Execute: How Will We Implement LTVV Given Local Culture and Resources?

Frame Your Intervention in the Science of Safety

Without a doubt, clinicians care deeply about their patients. Yet we are all fallible. No matter how hard we try, we will forget to order an important medication, or we will make other mistakes. Patient safety research has demonstrated consistently that blaming individual providers will not prevent patient harm. Organization-level factors, functional work area-related factors, team-related factors, task-related factors, and patient-related factors all have a role in patient outcomes. We need to ensure our system is designed to deliver these evidence-based interventions for every patient, every time.

Apply Principles of Safe System Design

Every system is perfectly designed to produce the results it delivers. If we want to achieve substantive and sustainable improvements in patient outcomes, we have to change the flawed components of the systems in which clinicians work. We must redesign systems to consistently produce wellness instead of harm. Other critical industries, like airlines and nuclear energy, teach us clear principles of safe system design:

- Standardize care
- Create independent checks
- Learn from defects

Standardize Care

Standardizing care and reducing complexity helps to establish new care processes as “normal behavior” for staff.⁸² A way to incorporate standardization into patient care is to use daily multidisciplinary rounds. Daily rounds should follow a structured format:

- Discuss the patient’s goals for that day;
- Determine what resources and actions are necessary to achieve those goals; and
- Close any communication gaps regarding care.

Any potential barriers and/or any safety issues should be identified.^{39,47,83} Providers want to do the right thing for their patients. However, the care of a patient on mechanical ventilation is complex. It is difficult to execute every evidence-based care practice in real time without clear communication and standardized care procedures.

Create Independent Checks

Creating independent checks or redundancy along the continuum of care involves developing unique and separate system checks for critical procedures. High-reliability industries, like the airline and nuclear energy industries mentioned above, use independent redundancies to monitor the high-risk procedures most likely to cause harm if not done correctly or not

completed. The health care industry is now developing independent redundancies. By combining both education and redundancy, we can significantly improve the processes of care.⁸³

Engaging all caregivers in care choices, including respiratory, physical, and occupational therapists, provides a powerful independent redundancy.

One powerful strategy to standardize care, reduce complexity, and create independent checks such that patients will reliably receive evidence-based interventions is the [Low Tidal Volume Ventilation Data Collection Tool](#). This tool is completed daily for every patient on mechanical ventilation. We encourage you to explore this tool as you promote and implement LTVV initiatives.

In the following sections we provide several strategies for standardizing care, reducing complexity and creating independent checks. Talk to your frontline providers! They likely have many other suggestions for creating a safer system design to ensure patients receive necessary interventions.

Learn From Defects

Learning from defects provides a detailed process to improve your systems.^{82, 84, 85} A defect is anything you do not want to happen again, such as an unsafe condition, a patient fall, a venous thromboembolism, a medication error, missing equipment, or a ventilator-associated event.⁸⁶ There are different problem-solving approaches to addressing defects:

- **First-order problem solving** (also known as “the workaround”).^{86,87} Often used because it is the fastest solution, this approach solves the problem for one patient but does not reduce the risk for future patients.
- **Second-order problem solving.**^{82,86} Reducing risks for future patients by improving systems, this is the proactive approach. It typically requires some analysis to determine all of the factors contributing to the defect.

In order to learn from defects, we need a shift in perspective—an attitude that errors and near misses have something to teach us about how we can improve our systems.⁸⁷⁻⁸⁹

The CUSP [Learn From Defects Tool](#) provides a framework that will guide you through a second-order problem-solving approach. You will identify system factors that contribute to defects, plan improvements, and sustain those improvements through four questions:

- What happened?
- Why did it happen?
- How will you reduce the risk of the defect happening again?
- How will you know the risk is reduced?

Strategies for Safe System Design Principles

Here are strategies to standardize care and create independent checks in implementing an LTVV program:

- Most important is to ensure that patients are put on the appropriate LTVV protocol at intubation
- Incorporate Daily Goals Checklist to reliably address LTVV for every mechanically ventilated patient on rounds
- Change respiratory therapy reporting
 - Assure that LTVV is addressed for every mechanically ventilated patient
 - Add LTVV reporting to the electronic medical record
- Hold daily ventilator setting huddles midday with the ICU physician, respiratory therapist, and charge nurse to ensure patient tidal volume and other ventilation targets are achieved
- Provide pocket quick reference cards to facilitate LTVV (located in Tables 1 and 2, and at the end of the Daily LTVV Data Collection Tool)
- Inform family members about LTVV and how using this method can reduce the chance of acute lung injury and shorten the amount of time their loved one may spend on mechanical ventilation
- Incorporate LTVV outcomes into ICU dashboards

Check Current Policies

Policies can be an effective strategy to improve compliance with evidence-based practice. Historically, unit and hospital policies tend to prefer a higher volume ventilation strategy for their mechanically ventilated patients. Check your hospital or unit policies, protocols, or standard order sets, which might inhibit the use of LTVV. We encourage you to review and update your existing policies to promote LTVV in your ICU.

Evaluate: How Will We Know Our Efforts Make a Difference?

The final step in the Four Es model is to evaluate the impact of your interventions. You need to assess whether your efforts are adding value for your staff, your patients, and their families.

Conducting frequent formal and informal audits with continuous timely feedback of outcome measures to all staff involved in this quality improvement process is essential. To accomplish this, we recommend that you monitor and report back to your staff each month. Routinely reporting results allows staff to track improvements in performance, reminds staff about the new processes, and even motivates improvement.⁴⁶ Be sure to celebrate your successes!



Low Tidal Volume Ventilation in Practice

“About a year ago, our facility hired a nurse trained in quality and patient safety. This patient safety nurse audits the daily charts to verify specific care requirements or interventions were completed; she also noted when cases were compliant with protocols.

Any issue involving compliance with care procedures or evidence-based interventions raises a flag. She sets up hands-on education with the involved staff members. In addition, she aligns her schedule to the next shift of those providers to reeducate and address lingering questions. We have added the low tidal volume ventilation measures to her audit and education activities. Staff appreciates the fast feedback and individual opportunity to ask questions.”

–CUSP team member

LTVV Tools

<i>Tools</i>	<i>How To Use Them</i>
LTVV Fact Sheet	Senior executives can use to note patient safety issues observed during safety rounds.
LTVV Literature Review	This 6½-minute video focuses on how engaging a senior executive to partner with a unit will bridge the gap between senior management and frontline providers and will facilitate a system-level perspective on quality and safety challenges that exist at the unit level.

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